



Risk Assessment & Management (RAM) Work Group

**December 1, 2021
LAB Committee Meeting**

Developments since October 2020 RAM Kickoff

Monthly divisional meetings to:

- Address and update corrective action plans;
- Assign SLAA categories to identified risks;
- Add additional risks for discussion and mitigation.



SLAA

State Leadership Accountability Act Requirements

Government Code Sections 13400 through 13407, known as the State Leadership Accountability Act (SLAA), were enacted for agencies to:

- Reduce resource waste
- Strengthen internal controls
- Maintain effective systems of internal control to manage risks

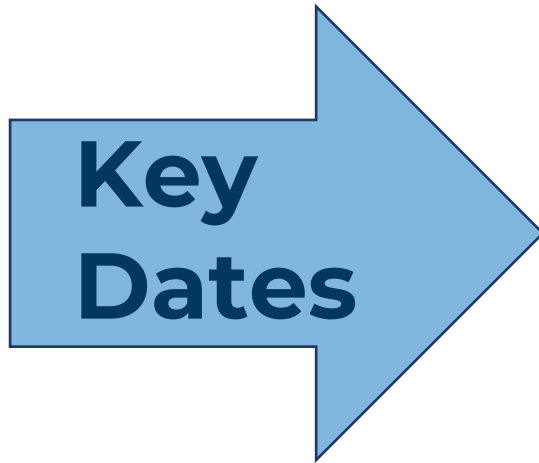
The Department of Finance facilitates SLAA and requires agencies to:

- Evaluate the effectiveness of its internal controls on an ongoing basis
- Biennially report on the adequacy by December 31 of each odd-numbered year
- Post the approved report on the agency's website

Coordinated
Efforts:
RAM
SLAA
BCP
Strategic Plan

RAM work group outcomes will be used to:

- Develop the Commission's bi-annual SLAA report,
- Construct BCPs,
- Inform our Strategic Plan, and
- Ultimately provide a foundation to support the Commission's overall efforts to manage enterprise-wide risks and strengthen the effectiveness and monitoring of its internal controls.



December 31, 2021

- 2021-2023 SLAA report DOF Submission Deadline

June 2022

- Commission Meeting: LAB Committee update
- 2021-2023 six-month SLAA Report - Implementation Plan Update
DOF Submission Deadline

December 2022

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